AEGIS FINANCIAL

Documents & Data Checklist

Forbes BEST-IN-STATE WEALTH ADVISORS 2023
SHOOK RESEARCH
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2021, 2022, & 2023 FORBES BEST-IN-STATE WEALTH ADVISOR RECIPIENT*

During the process of uncovering your goals, discussing your options, and understanding your complete financial picture, there are a few items that we request from you. If you're able to bring the selected documents to our upcoming discovery meeting, we will be fully equipped to deliver the full value of our service.

Client Name: ______________________ Discovery Meeting Date: __________

Business Owner

- ◯ Business evaluation
- ◯ Business Tax Return
- ◯ Business Financial Statements
- ◯ Buy/Sell Agreement
- ◯ Succession Agreement
- ◯ Business Insurance Policy
- ◯ Keyman Insurance Policy
- ◯ Property & Casualy Insurance Policy

Personal

- ◯ Budget
- ◯ Trusted Contact Information - Name,
- ◯ Address, Phone Number (Non-Beneficiary)
- ◯ Estimated "Liquid" Emergency Fund Balance: ______________________
- ◯ Social Security Statements*
- ◯ Voided Check (for ACH Withdrawal)
- ◯ Beneficiaries (Need DOB & SSN)
- ◯ Driver's License
- ◯ Most Recent Employer Check Stub
- ◯ Workplace Benefit Options
- ◯ Miscellaneous: ______________________

*We can obtain on website together when you are in our office.

Investment/Retirement

- ◯ Pension Information
- ◯ Deferred Compensation Statements
- ◯ Deferred Compensation Plan Documents Stock
- ◯ Options Statement
- ◯ Stock Options Plan Documents
- ◯ Life Insurance Policy
- ◯ All Investment Statements (Brokerage, Annuities, IRA, ROTH, 401k, ESOP, SIRA, SEP, 403B, Trust, etc.)
- ◯ Long Term Care Policies
- ◯ Disability Policies
- ◯ Miscellaneous: ______________________

Estate & Tax

- ◯ Estate Planning Documents
- ◯ Wills
- ◯ Power of Attorney | Finance
- ◯ Power of Attorney | Healthcare
- ◯ Health Care Directive
- ◯ Trust Documents
- ◯ Tax Return
- ◯ Miscellaneous: ______________________

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